

Charles D Dodds III

6070 Gateway Blvd E Ste 210
El Paso, TX 79905
888-668-9327



13500 Evening Creek Dr. N, Suite 555

San Diego, CA 92128

(858) 207-1300

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This Brochure Supplement provides information about Charles Dodds III that supplements the Madison Avenue Securities, LLC Brochure. You should have received a copy of that Brochure. Please contact Madison Avenue Securities if you did not receive a copy of the firm Brochure or if you have any questions about the contents of this supplement.

Additional information about Charles Dodds III is available on the SEC's website at www.adviserinfo.sec.gov.

Item 2- Educational Background and Business Experience

Charles D. Dodds III

AAMS – Accredited Asset Management Specialist

1977

Great Basin College associate's degree in English

08/2025 – Present: Investment Advisor – Mirau Capital management, Inc.

08/2021 – 08/2025: Financial Advisor – Edward Jones

03/2018 – 05/2021: Wealth Management Advisor – US Bancorp

Item 3- Disciplinary Information

Registered investment advisers are required to disclose all material facts regarding any legal or disciplinary events that would be material to your evaluation of each supervised person providing investment advice.

No Disciplinary Information to disclose

Item 4- Other Business Activities

Charles Dodds III is an investment advisor representative (“IAR”) of Madison Avenue Securities, LLC. (“MAS”). In addition to being an IAR, Charles Dodds III is also a registered representative of MAS. In addition to being a Registered Investment Advisor, MAS is also a broker-dealer and FINRA member firm, engaged in the business of selling securities.

Charles Dodds III may have a conflict of interest when providing financial planning services because he and MAS may receive additional compensation if the client chooses to execute transactions or purchase securities or insurance products through MAS. These will generally include sales commissions paid by product sponsors and trailing commissions on mutual fund shares. Clients are under no obligation to purchase products recommended by IARs through the IAR or MAS or its affiliates.

MAS, its IARs, and affiliates may, but are not obligated to, purchase or sell or recommend for purchase or sale a security which they may purchase or sell for their own accounts or the account of any other client. MAS has procedures dealing with insider trading, employee related accounts, front running and other issues that may present a potential conflict when such purchases, sales, or recommendations are made.

In general, these policies and procedures are intended to eliminate, to the extent possible, the adverse effect on clients of any such potential conflicts of interest. Charles Dodds III is also involved in sales of insurance products. These products may include annuities, life insurance, or health insurance. These activities may take place outside of Charles Dodds' relationship with MAS, and may be offered under a separate entity, Mirau Capital Management Inc.

Item 5- Additional Compensation

Charles Dodds III receives no significant additional compensation, outside of the investment and insurance related activities described above.

Item 6 - Supervision

Charles Dodds III is supervised by a designated supervising principal of MAS. All sales and advisory activities are reviewed and approved prior to the opening of any new account and prior to any transactions taking place Charles Dodds' supervising principal is Marcos Latorre and he may be reached by contacting the MAS home office at 858-207-1300.