

Patrick C. Romero

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MADISON AVENUE SECURITIES

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This Brochure Supplement provides information about Patrick C. Romero that supplements the Madison Avenue Securities, LLC Brochure. You should have received a copy of that Brochure. Please contact Madison Avenue Securities if you did not receive a copy of the firm Brochure or if you have any questions about the contents of this supplement.

Additional information about Patrick C. Romero is available on the SEC's website at www.adviserinfo.sec.gov.

Item 2- Educational Background and Business Experience

Patrick C. Romero, 1973,

Texas A&M University, BS 1996

Allstate Financial Services, Llc. – Financial Representative (July '15 – June '18)

Mutual of Omaha – District Manager (March '14 – June '15)

El Paso Times – Account Executive (April '13 – February '14)

Bankers Life and Casualty – Branch Sales Manager (September '09 – March '13)

Item 3- Disciplinary Information

Registered investment advisers are required to disclose all material facts regarding any legal or disciplinary events that would be material to your evaluation of each supervised person providing investment advice.

Item 4- Other Business Activities

Patrick C. Romero is an investment advisor representative (“IAR”) of Madison Avenue Securities, LLC. (“MAS”) In addition to being an IAR, Patrick C. Romero is also a registered representative of MAS. In addition to being a Registered Investment Advisor, MAS is also a broker-dealer and FINRA member firm, engaged in the business of selling securities.

Patrick C. Romero may have a conflict of interest when providing financial planning services because he and MAS may receive additional compensation if the client chooses to execute transactions or purchase securities or insurance products through MAS. These will generally include sales commissions paid by product sponsors and trailing commissions on mutual fund shares. Clients are under no obligation to purchase products recommended by IARs through the IAR or MAS or its affiliates.

MAS, its IARs, and affiliates may, but are not obligated to, purchase or sell or recommend for purchase or sale a security which they may purchase or sell for their own accounts or the account of any other client. MAS has procedures dealing with insider trading, employee related accounts, front running and other issues that may present a potential conflict when such purchases, sales, or recommendations are made. In general, these policies and procedures are intended to eliminate, to the extent possible, the adverse effect on clients of any such potential conflicts of interest.

Patrick C. Romero is also involved in sales of insurance products. These products may include annuities, life insurance, or health insurance. These activities may take place outside of Patrick C. Romero’s relationship with MAS, and may be offered under a separate entity, “Mirau Capital Management, Inc.”.

Item 5- Additional Compensation

Patrick C. Romero receives no significant additional compensation, outside of the investment and insurance related activities described above.

Item 6 - Supervision

Patrick C. Romero is supervised by a designated supervising principal of MAS. All sales and advisory activities are reviewed and approved prior to the opening of any new account and prior to any transactions taking place. Patrick C. Romero's supervising principal is Marcos Latorre and he may be reached by contacting the MAS home office at 858-207-1300.